

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

DOCKET NO. JP920000216US1
Date: February 13, 2001

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Vikunta Prahlad Raghunandan

For: INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S PROFILE

ASSIGNEE NAME: International Business Machines Corporation

ASSIGNEE RESIDENCE: Armonk, New York

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OCT 23 2001

OFFICE OF PETITIONS

Enclosed are:

☒ 5 Sheets of Informal Drawings.

☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

☐ A certified copy of a _____ application, dated _____, no. _____.

☒ Executed Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

		(Col. 1)	(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA		RATE	FEE
BASIC FEE					\$ 710.00
TOTAL CLAIMS	25 - 20 =	5		X \$ 18 =	\$ 90.00
INDEP CLAIMS	3 - 3 =	0		X \$ 80 =	\$ 0.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED				+ \$ 270 =	\$ 270.00
				TOTAL	\$ 1,070.00

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

☒ Please charge my Deposit Account No. 500-629 in the amount of \$ 1,070.00.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500-629. A duplicate copy of this sheet is enclosed.



Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: H. P. Raghunandan

Confirmation No. 6926

Serial No.: 09/782,933

Examiner:

Filed: February 14, 2001.

Group Art: 2152

For: INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S PROFILE

Commissioner for Patents
Washington, D. C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Request for Corrected Filing Receipt
3. Copies of: filing receipt, returned postcard, transmittal sheet, Express Mail Label EL493856068 showing filing date of February 14, 2001
4. Submission of Five (5) Formal Drawings

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

*Assistant Commissioner for Patents
Washington, D.C. 20231*

on September 13, 2001.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: JP920000216US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2

Applicants: H. P. Raghunandan, et al.
Serial No.: 09/782,933
Filing Date: February 14, 2001
Confirmation No. 6926

Docket No. JP920000216US1
Group Art Unit: 2152
Examiner:
Dated: September 13, 2001

For: INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S PROFILE

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt from the PTO in the above mentioned patent application for which issuance of a corrected filing receipt is respectfully requested. Also attached are copies of: acknowledgement postcard, Filing Receipt, application transmittal sheet, and Express Mail Label No. EL493856068US.

There is an error in:

- ☐ Applicant's name and/or address.
- ☐ Title
- ☒ Filing Date
- ☐ Serial Number
- ☐ Atty. Docket No.

in that it should read as follows:

"02/13/2001" should read -- 02/14/2001.

Since this error was due on the part of the Patent Office, no fee is believed to be due. Should a fee need to be incurred, please charge our deposit account 50-0629.

Respectfully submitted,

Manny W. Schecter
Telephone: 914-945-3252
Registration No. 31,722

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NEW YORK 10598
Fax No. 914-945-3281

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FILING PATENT APPLICATION, EXECUTED DECLARATION AND POWER OF ATTORNEY, ASSIGNMENT TO IBM WITH RECORDATION FORM, APPLICATION FEE SHEET.



PLEASE STAMP & RETURN TO US

In re application of Hulikunta P. Raghuandan
For INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S PROFILE

Serial No. ; Docket No. JP920000216US Atty.: SCK

Received in the U.S. Patent & Trademark Office:

No. of pages of specification 15; No. of pages of claims 4

No. of sheets of drawings: 5 Informal Express Mail EL493856068US

Declaration is attached to specification Date of Deposit: Feb. 14, 2001

All fees are charged to our Account No. 09-0466-500-629

EL493856068US

FILING PATENT APPLICATION, EXECUTED DECLARATION AND POWER OF ATTORNEY, ASSIGNMENT TO IBM WITH RECORDATION FORM, APPLICATION FEE SHEET.

jc660 U.S. PTO
09/782933

PLEASE STAMP & RETURN TO US

In re application of Hulikunta P. Raghuandan
For INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S PROFILE

Serial No. ; Docket No. JP920000216US Atty.: SCK

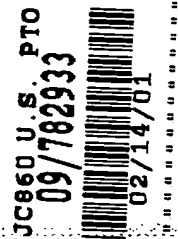
Received in the U.S. Patent & Trademark Office:

No. of pages of specification 15; No. of pages of claims 4

No. of sheets of drawings: 5 Informal Express Mail EL493856068US

Declaration is attached to specification Date of Deposit: Feb. 14, 2001

All fees are charged to our Account No. 09-0466-500-629



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UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/782,933	02/13/2001	2152	1070	JP920000216US1	5	23	3

CONFIRMATION NO. 6926

FILING RECEIPT



OC000000005880006

Stephen C. Kaufman
IBM Corporation
Box 218, Route 134, Kitchawan Road
Yorktown Heights, NY 10598

Date Mailed: 03/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hulikunta Prahlad Raghunandan, Bangalore, INDIA;

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/19/2001

Projected Publication Date: 08/15/2002

Non-Publication Request: No

Early Publication Request: No

Title

Information provision over a network based on a user's profile

IBM
YORKTOWN
2001 APR -6 AM 10:04
INTELLECTUAL PROPERTY
LAW DEPT.

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OCT 23 2001

OFFICE OF PETITIONS

Preliminary Class

709

Data entry by : THANATIPANAT, CHULARAK Team : OIPE

Date: 03/30/2001

[REDACTED]

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: H. P. Raghunandan

Docket No. JP920000216US1

Serial No. 09/782,933

Group Art Unit: 2152

Filed: February 14, 2001

Examiner:

Batch No.:

Confirmation No.: 6926

For: INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S
PROFILE

Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF FORMAL DRAWINGS

Sir:

Submitted herewith are five (5) Formal Drawing sheets for filing in the
above-identified application.

Respectfully submitted,

Manny W. Schecter
Reg. No. 31,722
Telephone: (914) 945-3252

IBM CORPORATION
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, NY 10598
Fax: (914) 945-3281

Date: September 13, 2001

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